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World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

1309391

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

*April 18, 2005*

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**APPLICATION NUMBER: 60/555,253**

**FILING DATE: *March 22, 2004***

**RELATED PCT APPLICATION NUMBER: *PCT/US05/09621***



Certified by

Under Secretary of Commerce  
for Intellectual Property  
and Director of the United States  
Patent and Trademark Office

16562 U.S. PTO  
032204

PTO/SB/16 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
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## PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

Express Mail Label No. EL 912754419 US					
INVENTOR(S)					
Given Name (first and middle (if any))		Family Name or Surname		Residence (City and either State or Foreign Country)	
MARK FRANCIS		RUMREICH		INDIANAPOLIS IN US	
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
APPARATUS AND METHOD FOR SMOOTH PIXEL DIGITAL LIGHT PROCESSING					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number <input type="text"/>					
OR					
<input type="checkbox"/> Firm or Individual Name		JOSEPH S. TRIPOLI, THOMSON LICENSING INC.			
Address		PATENT OPERATIONS			
Address		P. O. BOX 5312			
City		PRINCETON		State	NJ
Country		USA		ZIP	08543-5312
		Telephone	609-734-6834	Fax	609-734-6888
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages 6 <input type="checkbox"/> CD(s), Number _____					
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets 4 <input type="checkbox"/> Other (specify) _____					
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: 07-0832					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
FILING FEE AMOUNT (\$) \$160					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

Respectfully submitted, [Page 1 of 2,  
SIGNATURE   
TYPED or PRINTED NAME CHRISTINE JOHNSON  
TELEPHONE 1 609 734 6892

Date March 22, 2004

REGISTRATION NO 38507  
Docket Number: PU040040

### USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17858 U.S. PTO  
60/555253  
032204

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2004</h2> <p style="font-size: small; margin: 5px 0;">Effective 10/01/2003. Patent fees are subject to annual revision.</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td></td></tr> <tr><td>Filing Date</td><td></td></tr> <tr><td>First Named Inventor</td><td>MARK FRANCIS RUMREICH</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Art Unit</td><td></td></tr> <tr><td>Attorney Docket No.</td><td>PU040040</td></tr> </table>		Application Number		Filing Date		First Named Inventor	MARK FRANCIS RUMREICH	Examiner Name		Art Unit		Attorney Docket No.	PU040040
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<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 160															

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 07-0832 Deposit Account Name: THOMSON LICENSING INC.				<b>3. 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unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - 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<p><small>**or number previously paid, if greater. For Reissues, see above</small></p>				<p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid      <b>SUBTOTAL (3)</b> (\$) 0</p>																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	CHRISTINE JOHNSON	Registration No. (Attorney/Agent)	38507	Telephone	1 609 734 6892
Signature		Date	3/22/04	March 22, 2004	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## APPARATUS AND METHOD FOR SMOOTH PIXEL DIGITAL LIGHT PROCESSING

### **Field of the Invention**

[0001] The present invention generally relates to a filter to pre-correct video prior to "smooth pixel DLP" processing.

### **Background of the Invention**

[0002] Smooth pixel Digital Light Processing (DLP) "contaminates" each pixel with the upper, lower, left & right adjacent input pixels, thereby blurring the image in some cases. Pre-correction filters for other applications are traditionally either the cascade of vertical and horizontal filters, or involve diagonal coefficients. These filters involve "noncontaminating pixels" and therefore, in some cases, do not adequately correct the problem. One proposed approach to Digital Light Processing (DLP) is referred to as "Smooth pixel DLP". In this approach, rather than using traditional square pixels oriented horizontally and vertically, square pixels are rotated 45 degrees. The rotated pixels are referred to as "diamond pixels" (see figure 1).

[0003] According to a proposed technique, in addition to using diamond pixels, smooth pixel DLP modulates the angle of a mirror in the image light path in order to increase the effective resolution. By changing the angle of the mirror, the diamond pixels are shifted downward by half a pixel, resulting in a secondary set of pixels centered on the interstices of the primary set of pixels (see figure 2).

[0004] The modulation produces both primary and secondary pixel sets for each incoming picture, effectively doubling the number of pixels. But because of the overlap between pixel sets, there is a loss of apparent resolution (as compared to having the combined number of pixels in a non-overlapping arrangement). In other words, each combined pixel is "contaminated" by the four adjacent pixels of the other pixel set. Accordingly, devices and methods for canceling the contamination effect are needed.

**Summary of the Invention**

[0005] The present invention is an apparatus and method for pre-correcting video to reduce the contamination effect caused by smooth pixel DLP modulation.

**Brief Description of the Drawings**

Preferred embodiments of the present invention will be described below in more detail, with reference to the accompanying drawings, in which:

Figure 1 is an illustration of a "diamond pixel" of the prior art.

Figure 2A is an illustration of a primary pixel set.

Figure 2B is an illustration of a secondary pixel set.

Figure 2C is an illustration of a combined pixel set.

Figure 3A is an illustration of a primary pixel set.

Figure 3B is an illustration of a primary pixel set.

Figure 3C is an illustration of a combined pixel set.

Figure 4 is a diagram of a filter according to an embodiment of the invention.

**Detailed Description**

[0006] "Smooth pixel DLP" is a term used to refer to Texas Instruments approach to a form of Digital Light Processing. Instead of using traditional square pixels oriented horizontally and vertically, square pixels are rotated 45 degrees. These are referred to as "diamond pixels" (see figure 1).

[0007] In addition to using diamond pixels, one approach to smooth pixel DLP modulates the angle of a mirror in the image light path in order to increase the effective resolution. By changing the angle of the mirror, the diamond pixels are shifted downward by half a pixel, resulting in a secondary set of pixels centered on the interstices of the primary set of pixels (see figure 2).

[0008] The modulation produces both primary (Figure 2A) and secondary (Figure 2B) pixel sets for each incoming picture. This effectively doubles the number of pixels. But because of the overlap between pixel sets, there is a loss of apparent resolution (as

compared to having the combined number of pixels in a non-overlapping arrangement) (Figure 3B). In other words, each combined pixel (Figure B) is contaminated by the four adjacent pixels of the other pixel set. The invention is a system and method of substantially canceling this effect.

[0009] According to an embodiment of the invention, each incoming pixel data point directly corresponds to one pixel on the combined pixel grid. For example, in an illustrative embodiment of the invention, the incoming pixel data for one component (in one embodiment YUV, in an alternative embodiment RGB) is as follows:

A	B	C	D	E
F	G	H	I	J
K	L	M	N	O

[0010] Figures 3A, 3B and 3C show how the above input samples are mapped to the smooth pixels. Referring to figure 3, each input pixel is "contaminated" by the four adjacent pixels of the other pixel set. For example, the H pixel is contaminated by the C, G, I and M pixels. In other words, each pixel is contaminated by the upper, lower, left and right adjacent pixels in the incoming pixel data. By using a pre-correction filter of the present invention on the incoming pixel data, it's possible to offset the degradation due to this contamination effect.

[0011] In one embodiment of the invention, a two-dimensional precorrection filter configured as follows is employed:

$$\begin{array}{ccc} & \alpha & \\ \alpha & \beta & \alpha \\ & \alpha & \end{array}$$

[0012] In one embodiment of the invention, alpha is  $-1/8$ . This provides provide unity DC gain and optimum pre-correction. In an embodiment of the invention, Beta is  $1 - 4*\alpha$  for unity DC gain. In one embodiment of the invention, for  $\alpha = -1/8$ , beta is  $3/2$ . In effect, the filter of an embodiment of the invention subtracts out contaminating

pixel information from each input pixel. By processing the input pixel data with this filter, pre-corrected input pixel data is provided. The pre-corrected pixel data is then processed by smooth pixel processing.

A	B	C	D	E		A'	B'	C'	D'	E'
F	G	H	I	J	→	F'	G'	H'	I'	J'
K	L	M	N	O		K'	L'	M'	N'	O'

[0013] Figure 4 illustrates a filter in accordance with an embodiment of the invention. In this embodiment, eight levels of pre-correction are provided, from  $\alpha = 0$  to  $\alpha = -7/32$ , in  $1/32$  steps.

[0014] While foregoing is directed to the preferred embodiment of the present invention, other and further embodiments of the invention may be devised without departing from the basic scope thereof, and the scope thereof is determined by the claims that follow.



**Claims**

1. A method for filtering pixel data comprising the steps of:  
applying a pre-correction filter to said pixel data to provide pre-corrected pixel  
5 data;  
smooth pixel processing said pre-corrected pixel data.

**Abstract of the Disclosure**

A method and apparatus are disclosed for filtering pixel data. The method comprises the steps of applying a pre-correction filter to the pixel data to provide pre-corrected pixel data. The pre-corrected pixel data is subjected to smooth pixel processing.

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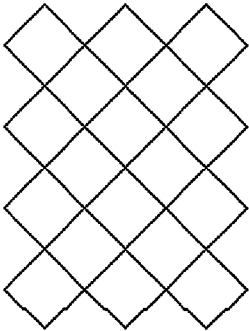


Figure 1

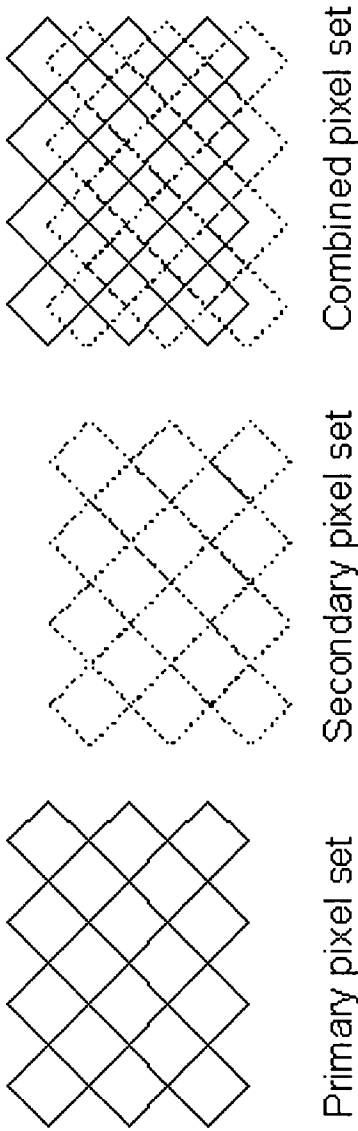


Figure 2A      Figure 2B      Figure 2C

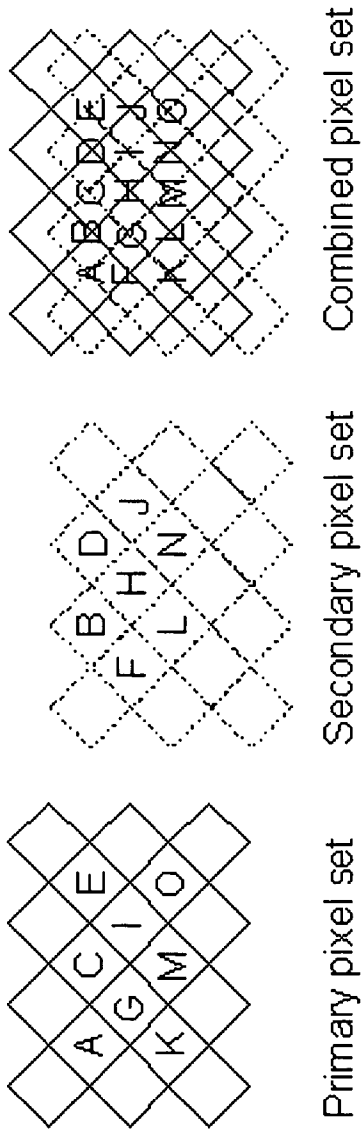


Figure 3A      Figure 3B      Figure 3C

